

## Guidelines for the awarding of dissertation prizes dated 20 July 2022.

### Section 1

#### Purpose

The Rectorate honors the authors of outstanding dissertations, which were supervised and originated at TU Dortmund University, for their dissertations with a dissertation prize. The prize is intended to promote outstanding work performed by doctoral students and to make it known to the public.

### Section 2

#### Eligibility for promotion

Outstanding in the sense of these guidelines can only be a dissertation that has been evaluated with the grade "with distinction/excellent" (summa cum laude) and that makes a significant scientific contribution to its field. For assessing whether there is a substantial scientific contribution, the following criteria are to be used in particular:

- scientific originality and innovation value;
- form of presentation, especially with regard to clarity, cohesion of the argumentation and comprehensibility;
- significance for the further development of the scientific field.

### Section 3

#### Application procedure

The Deans, with the participation of the doctoral commission of their department, make a nomination decision on the award of the prize by 1 September of each year with regard to the doctoral projects completed with the publication of the thesis between 1 September of the previous year and 31 August of the current year (award period, cf. Section 19 Doctoral Framework Regulations). The prize is not divisible.

The nomination decision shall be reported to the Rectorate by 1 October of each year. The report must contain at least:

- name of the doctoral candidate and the supervisor as well as the title and grade of the dissertation;
- private/office address and bank details of the doctoral candidate;
- the assessments prepared for the evaluation of the work, at least one of which must have been prepared by an external reviewer. If no external assessment is available, one must be obtained for this nomination;
- a maximum of two pages of justification of the nomination proposal by the proposing Dean;
- a copy of the nominated dissertation and a one-page summary/abstract;
- a curriculum vitae and a list of publications of the doctoral candidate;
- a copy of the diploma or certificate entitling the holder to a doctorate, as well as proof of the date of submission of the dissertation;
- if applicable, details of other awards for the same dissertation.

### Section 4

#### Amount of the prize

The amount of the prize is EUR 1,250.00.

**This is a translation of the original German document for explanatory purposes only.  
For all legal purposes, solely the German version of the document shall be considered binding.**

### Section 5 Award of the prizes

The Rectorate decides on the award on the basis of the nomination decision of the Deans. If there are doubts about the fulfillment of the eligibility criteria listed in Section 2, the Rectorate may request a new nomination proposal. The prizes are awarded in a ceremony by the Vice President Research.

### Section 6 Entry into force

These guidelines enter into force on the day after publication in the Official Communications of TU Dortmund University. At the same time, the new announcement of the Guidelines for the Award of Dissertation Prizes in the version of 20 May 2020 (AM 9/2020) is repealed.

Issued on the basis of the resolution of the Rectorate of TU Dortmund University dated 13 July 2022.

#### Note

It is pointed out that, in accordance with Section 12 (5) of the North Rhine-Westphalia Higher Education Act (*Gesetz über die Hochschulen des Landes Nordrhein-Westfalen*, HG NRW), a violation of procedural or formal requirements of the university's regulatory or other autonomous law can no longer be asserted after the expiration of one year from the date of this announcement, unless

- 1) the guideline has not been duly published,
- 2) the Rectorate has previously objected to the decision of the body adopting the guideline,
- 3) the formal or procedural defect has been notified to the university in advance and the violated legal provision and the fact giving rise to the defect have been specified, or the legal consequence of the exclusion of notification has not been pointed out in the public announcement of the regulations.

Dortmund, 20 July 2022

The President  
of TU Dortmund University

University Professor  
Dr. Manfred Bayer